

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE  <b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 33082M211  U.S. APPLICATION NO. (if known) see 37 CFR 1.55 <b>10/500125</b>
INTERNATIONAL APPLICATION NO. <b>PCT/JP02/09512</b>	INTERNATIONAL FILING DATE <b>September 17, 2002</b>	PRIORITY DATE CLAIMED <b>December 27, 2001</b>
TITLE OF INVENTION	<b>BOAT FOR THERMAL PROCESS AND VERTICAL THERMAL PROCESSING UNIT</b>	
APPLICANT(S) FOR DO/EO/US	<b>Shinji Irie, et al.</b>	
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau (<u>see Form PCT/IB/308</u>)</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 34 (35 U.S.C. 371).             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 34 (35 U.S.C. 371).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 34 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>Items 11. to 16. below concern other document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.  <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information:             <ol style="list-style-type: none"> <li>a. Cover Page of PCT Publication No. WO 03/060968 with International Search Report (PCT/ISA/210)</li> <li>b. Forms PCT/IB/308; PCT/IB/306; PCT/IB/304 and PCT/IB/301</li> <li>c. Forms PCT/IPEA/409 and PCT/IPEA/416 (in Japanese)</li> <li>d. Translation of Article 34 Amendment</li> <li>e. Form PCT/IPEA/401 (in Japanese)</li> </ol> </li> </ol>		
		<b>CUSTOMER NO. 00441</b>

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U.S. DEPARTMENT OF COMMERCE: PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371ATTORNEY'S DOCKET NUMBER  
33082M211U.S. APPLICATION NO. (if known, see  
37 CFR 1.5)17. ☐ The following fees are submitted:

CALCULATIONS

PTO USE ONLY

**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**  
Search Report has been prepared by the EPO or JPO ..... \$920.00

International preliminary examination fee paid to USPTO  
(37 CFR 1.482) ..... \$730.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee  
paid to USPTO (37 CFR 1.445(a)(2)) ..... \$770.00

Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 1,080.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$920.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest  
claimed priority date (37 CFR 1.495(e)).

\$ --

Claims	Number Filed	Number Extra	Rate
Total Claims	13 - 20 =	10	x \$18.00
Independent Claims	1 - 3 =	0	x \$84.00
Multiple dependent claim(s) (if applicable)			+ \$290.00

TOTAL OF ABOVE CALCULATIONS =

\$ 920.00

Reduction by 2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note  
37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =

\$920.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest  
claimed priority date (37 CFR 1.492(f)).

+

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TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an  
appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.

+

\$ 40.00

TOTAL FEES ENCLOSED =

\$960.00

Amount to be  
refunded

\$

charged

\$

a. ☒ A check in the amount of \$960.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4300.NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and  
granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:  
SMITH, GAMBRELL & RUSSELL, LLP  
1850 M Street, N.W., Suite 800  
Washington, D.C. 20036  
Telephone: (202) 659-2811  
Facsimile: (202) 263-4329

SIGNATURE

Michael A. Makuch, Reg. No. 32,263  
NAME REGISTRATION NO.  
Date: June 25, 2004